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PATENTS  
CF/013



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application



Applicants : Glenn D. Kirwin et al.  
Application No.: 09/745,651 Confirmation No.: 1536  
Filed : December 22, 2000  
For : SYSTEMS AND METHODS FOR PROVIDING  
A TRADING INTERFACE  
Group Art Unit : 2161  
Examiner : Not yet assigned

New York, New York 10020  
April 2, 2001

Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice to File Missing Parts  
of Application mailed January 31, 2001, applicants hereby  
submit the following:

1. A copy of the January 31, 2001 Notice to  
File Missing Parts of Application; and
2. Formal Drawings, Figs. 1-10 (12 Sheets).

Applicants note that the Notice to File Missing  
Parts also requires that an executed declaration and a  
surcharge for late submission of the same be submitted in  
response to the Notice. These items will be filed in a

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Supplemental Response to be filed during the available extension periods.

Applicants believe that no fee is due in connection with this paper. However, if any fees are due, the Commissioner is hereby authorized to charge those fees to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,



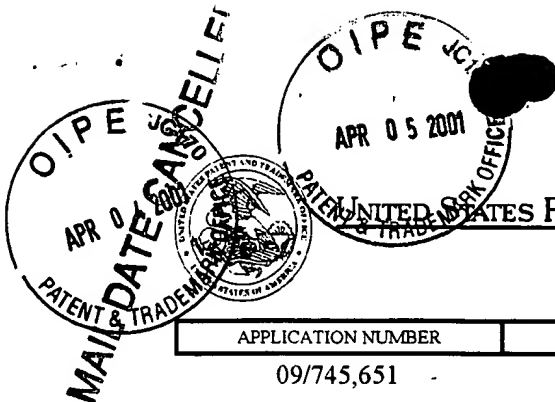
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I Hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to: ASSISTANT  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

April 2, 2001  
Claire J. Saintil

[Signature]  
Signature of Person Signing

09745651 040501



UNITED STATES PATENT AND TRADEMARK OFFICE			
COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20531 www.uspto.gov			
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/745,651	12/22/2000	Glenn D. Kirwin	CF/013

1473  
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CONFIRMATION NO. 1536  
FORMALITIES LETTER  
  
\*OC000000005720814\*

Date Mailed: 01/31/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

